

Understanding the Requirements for Surveys to Support Satellite-Based Crop Type Mapping: Evidence from Sub-Saharan Africa

> TALIP KILIC Senior Economist World Bank tkilic@worldbank.org

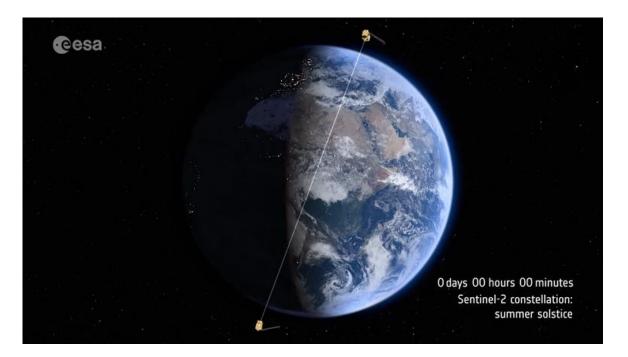
Joint work with George Azzari, Shruti Jain, Graham Jeffries and Siobhan Murray

UN World Data Forum | October 6, 2021



Background

- Role of agriculture in rural livelihoods
- Need for accurate, crop-specific measures of area under cultivation, production and yields – not only at the nationallevel but with enhanced within-country disaggregation
- Surge in high-resolution satellite imagery and research on remotely sensing outcomes in smallholder farming systems
 - Still need data to train and validate the underlying models

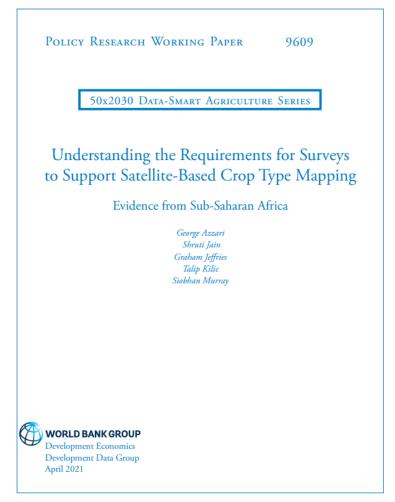


Key takeaways from the literature

- Training data have a bearing on the quality and spatial resolution of satellite-based estimates (Lobell et al. 2019, 2020)
- Research has largely been at sub-national levels, with heterogeneity in the type of and approach to training data collection
- Large-scale surveys can address training data needs of earth observation applications on crop area mapping and crop yield estimation in lower-income countries, but...
 - There are no clear recommendations on survey methods and fieldwork protocols to generate the right training data

What we do

- Address operational and inter-related research questions in the context of maize area mapping in Malawi and Ethiopia
 - How much training data do we need to reach an acceptable level of accuracy of a crop classification algorithm?
 - How does the approach to georeferencing plot locations in surveys impact algorithmic accuracy?
 - How do the type of satellite data and exclusion of plots under specific area thresholds affect algorithmic accuracy?
- Leverage ML, georeferenced national survey data collected by NSOs, and Sentinel-2 imagery + ancillary geovariables
- Inform the guidelines being developed by <u>50x2030 Initiative</u> for georeferenced survey data collection for training and validating EO models for high-resolution crop type mapping and crop yield estimation in smallholder farming systems



What we find

- Collecting a **complete plot boundary** is preferable to competing approaches to georeferencing plot locations in large-scale household surveys. This is particularly true if collection capacity is limited to fewer locations.
- Seemingly-small erosion in maize classification accuracy under less preferable approaches to georeferencing plot locations consistently results in total area under maize cultivation to be overestimated - in the range of 0.16 to 0.47 million hectares (8 to 24 percent).
- Georeferencing the complete set of plot corners is a second-best strategy, can approximate full plot boundaries and can in turn train models with comparable performance.
- Classification performance peaks with ~60% of the training data under preferred and second-best approaches to
 georeferencing plot locations.
- If only a single GPS point can be collected, that location should be near the plot centroid rather than at the plot corner. With large datasets, the performance could be comparable to that of complete plot boundaries.
- No plot observations should be excluded from model training based on a minimum plot area threshold.
- Optical features alone can provide sufficient signal to maximize prediction quality.

Open access data assets

10-m resolution crop area and maize area maps for Malawi and Ethiopia for each agricultural season from 2016 to 2019 on World Bank Development Data Hub

🛱 Development Data Hub	SEARCH Q, HOME DATA ADD DATA MY DATASETS COLLECTIONS	Development Data Hub SEARCH Q HOR	ME DATA ADD DATA MY DATASETS COLLECTIONS
Home / Search Results / Details		Home / Search Results / Details	
📀 High-Resolution Crop And Maize Area Mapping For Ethiopia		High-Resolution Crop And Maize Area Mapping For Malawi	
Metadata last updated on - Jul 21, 2021 Linked to the reserve conducted under the Methods and Tools Component of the 50x2033 (https://www.50x2030.org/), this data deposit includes 10-meter spatial resolution maps for cultivated with any crops, and (ii) areas cultivated with maize across Ethiopia for each rainy the period of 2016-2019. The maps are a product of the analyses conducted by Azzari View More Overview	(I) areas Classification: Public	Metadata last updated on - Jul 21, 2021 Linked to the research conducted under the Methods and Tools Component of the 50x2030 Initiative (https://www.50x2030.org/), this data deposit includes 10-meter spatial resolution maps for (i) areas cultivated with any crops, and (ii) areas cultivated with maize across Malawi for each rainy season during the period of 2016-2019. The maps are a product of the analyses conducted by Azzari View More Overview	Data Access and Licensing Classification: Public This dataset is classified as Public under the Access to information Classification Policy. Users inside and outside the Bank can access this dataset. License: Creative Commons Attribution 4.0
Guide to Cloud Optimized Geotiffs &	This dataset is licensed under Creative Commons Attribution 4.0	Guide to Cloud Optimized Geotiffs &	This dataset is licensed under Creative Commons Attribution 4.0
Basic Information Document for crop and maize area mapping ±	Contact Talip Kilic Sicobhan Murray	Basic Information Document for crop and maige area mapping ± row - Last Updated: Apr 13, 2021 - Classification: Public - Size: 349.1 KB	Contact Talip Kilic Siobhan Murray
Ethiopia maige mask for 2017 ± Last Updated: Apr 13, 2021 Classification: Public Size: 335.6 MB MIADATA A	i smurray@worldbank.org	Molowi maige mask for 2018 ± TUT - Last Updated: Apr 13, 2021 - Classification: Public - Size: 162.5 MB MERADATA -	smurray@worldbank.org
Description Pixels with probability of crop cultivation greater than or equal to probability of maize cultivation greater than or equal to 50 percer Classification Public		Description Pixels with probability of crop cultivation greater than or equal to 40 percent and probability of maize cultivation greater than or equal to 60 percent. Classification Public	Topics Agriculture and Food Security
	Related Links		Related Links
Ethiopia maige mask for 2016 ± METADATA ~ Ethiopia maige mask for 2018 ±	Azzari, G., Jain, S., Jeffries, G., Killic, T., and Murray, S. (2021). "Understanding the Requirements for Surveys to Support Satellite-Based Crop Type Mapping: Evidence from Sub-Saharan Africa." World Bank Policy Research Working Paper No. 9609, LSMS Washington, DC: World Bank.	Molowi maige mask for 2016 ± TET - Last Updated: Apr 13, 2021 - Classification: Public - Size: 174.6 MB METADATA ~ Molowi maige mask for 2017 ± TET - Last Updated: Apr 13, 2021 - Classification: Public - Size: 182.3 MB	Azzari, G., Jain, S., Jeffries, G., Kille, T., and Murray, S. (2021). "Understanding the Requirements for Sunveys to Support Satellite-Based Crop Type Mapping: Evidence from Sub-Saharan Africa." World Bank Policy Research Working Paper No. 9609, LSMS Washington, DC: World Bank.
Last Updated: Apr 13, 2021 - Classification: Public - Size: 390.6 MB	Taas	MERARIA Y	Tags
Ethiopia maize mask for 2019 \pm	Africa , agriculture , crop type , earth observation ,	Malawi maige mask for 2019 ±	Africa , agriculture , crop type , earth observation household survey , maize , Malawi , remote

METADATA 👻

107 · Last Updated: Apr 13, 2021 · Classification: Public · Size: 375.2 MB METADATA O

http://bit.ly/ethiopiamaps

Ethiopia , household survey , maize , remote

sensing, satellite imagery, sentinel-2

http://bit.ly/malawimaps

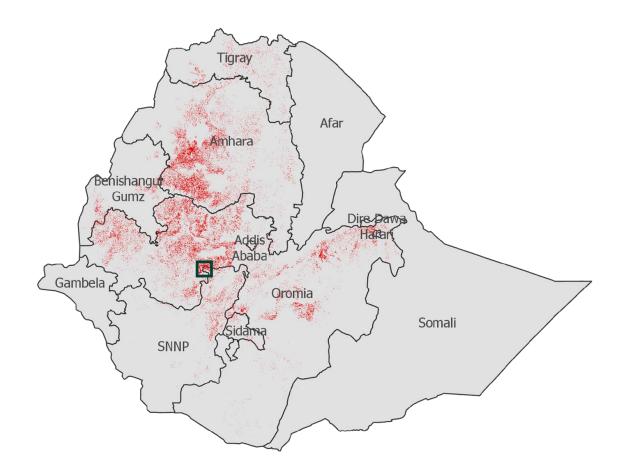
sensing, satellite imagery, sentinel-2

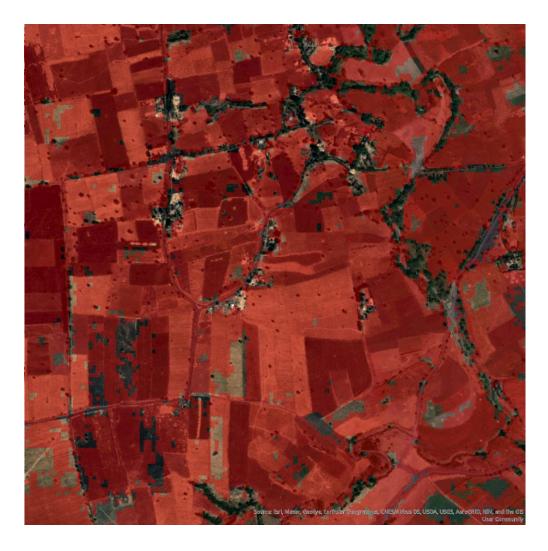
• Last Updated: Apr 13, 2021 • Classification: Public • Size: 162.9 MB



Open access data assets

Ethiopia 2019: high-density production area





Looking forward

- Continuing <u>50x2030-supported</u> research to formulate the guidelines for large-scale surveys to fulfill training data needs of satellite-based crop area mapping and yield estimation in smallholder farming systems
 - Leverage additional existing large-scale survey data from <u>Mali</u>, <u>Malawi</u> and <u>Uganda</u> with georeferenced plot outlines and objectives measures of yields based on crop cutting – to:
 - Expand crop classification to <u>new countries & new cereals</u>: barley, millet, rice, sorghum & wheat
 - Identify training data requirements for high-resolution yield estimation for maize & new cereals
 - Gauge sensitivity of recommendations to the choice of model (e.g., RF vs. CNN) & geospatial covariates
 - Document accuracy of <u>out-of-season predictions</u> & <u>inter-temporal decay in model accuracy</u>
 - Conduct research on object-based classification and automated detection of plot boundaries
 - If the COVID-19 pandemic allows, conduct additional survey experiments in 2022 in non-African settings



Understanding the Requirements for Surveys to Support Satellite-Based Crop Type Mapping: Evidence from Sub-Saharan Africa

> TALIP KILIC Senior Economist World Bank tkilic@worldbank.org

Joint work with George Azzari, Shruti Jain, Graham Jeffries and Siobhan Murray

UN World Data Forum | October 6, 2021